



## Disciplinary Actions Report

**ABP Entity Name:** Offset Solar

**Type of ABP Entity:** Designee

**Reason for Suspension:** Violation of bullet 6 of Section 2 of DG Contract Requirements (not indicating when mechanics' lien waivers will be provided in the customer contract). Lack of responsiveness to the Program Administrator after repeated requests for replies.

**Suspension Status:** Suspended indefinitely

Suspension Details			
Issue Date	Start Date	End Date	Length
08/29/19	08/29/19	N/A	Indefinite

Appeal Details			
Submitted	Receipt Date	Status	Determination Date
No	N/A	N/A	N/A

**Appeal Determination:** N/A

**Other Information:** N/A

**ABP Entity Name:** LGCY Power

**Type of ABP Entity:** Designee

**Reason for Suspension:** Violation of Section 3(a) of ABP Marketing Guidelines (use of "ComEd Energy Savings Program" in third-party customer solicitations).

**Suspension status:** Suspension completed; Active Designee status restored

Suspension Details			
Issue Date	Start Date	End Date	Length
06/24/2019	06/24/2019	09/24/2019	3 months

Appeal Details			
Submitted	Receipt Date	Status	Determination Date
Yes	07/02/2019	Denied	09/23/2019

**Appeal Determination:** N/A

**Other Information:** N/A

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**ABP Entity Name:** Iconic Energy

**Type of ABP Entity:** Designee

**Reason for Suspension:** Violation of Section 8(d) of the ABP Marketing Guidelines (signing disclosure forms on behalf of customers).

**Suspension status:** Suspension completed, Active Designee status restored

Suspension Details			
Issue Date	Start Date	End Date	Length
02/12/2019	02/12/2019	02/12/2020	12 months

Appeal Details			
Submitted	Receipt Date	Status	Determination Date
Yes	02/13/2019	Reviewed	03/12/2019

**Appeal Determination:** Suspension end date shortened to 03/31/2019.

**Other Information:** N/A

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**ABP Entity Name:** Sunder Energy

**Type of ABP Entity:** Designee & Third-party sales company

**Reason for Suspension:** Violated temporarily implemented COVID-19 related restrictions added to the Program's Marketing Guidelines by performing door-to-door solicitations while this activity was strictly prohibited.

**Suspension status:** Suspended

Suspension Details			
Issue Date	Start Date	End Date	Length
07/07/2020	07/07/2020	01/07/2021	6 months

Appeal Details			
Submitted	Receipt Date	Status	Determination Date
Yes	07/08/2020	Denied	07/31/2020

**Appeal Determination:** Suspension upheld.

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**ABP Entity Name:** Freedom Forever

**Type of ABP Entity:** Approved Vendor & Designee

**Reason for Suspension:** A third-party sales company contracted to perform sales on behalf of Freedom Forever committed a violation of the temporarily implemented COVID-19 related

restrictions added to the Program's Marketing Guidelines by performing door-to-door solicitations while this activity was strictly prohibited.

**Suspension status:** Suspension completed, Approved Vendor and Designee status restored

Suspension Details			
Issue Date	Start Date	End Date	Length
07/07/2020	07/07/2020	10/07/2020	3 months

Appeal Details			
Submitted	Receipt Date	Status	Determination Date
Yes	07/08/2020	Denied with exception	07/31/2020

**Appeal Determination:** Freedom Forever's suspension as an Approved Vendor and Designee has been upheld; Freedom Forever's installation team is permitted to continue with the installation of systems.

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**ABP Entity Name:** Standard Eco

**Type of ABP Entity:** Designee

**Reason for Suspension:** Violation of Section 8(d) under subsection titled *Guidelines for Marketing Behavior* of the Distributed Generation Marketing Guidelines, by not providing customers with a Disclosure Form until several months after completing installation.

**Suspension status:** Suspended

Suspension Details			
Issue Date	Start Date	End Date	Length
07/07/2020	07/07/2020	11/07/2020	4 months

Appeal Details			
Submitted	Receipt Date	Status	Determination Date
Yes	07/21/2020	Denied	08/28/2020

**Appeal Determination:** Denied

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**ABP Entity Name:** United American Marketing

**Type of ABP Entity:** Designee

**Reason for Suspension:** United American Marketing committed a violation of the temporarily implemented COVID-19 related restrictions added to the Program's Marketing Guidelines by performing door-to-door solicitations while this activity was strictly prohibited.

**Suspension status:** Appeal pending

<b>Suspension Details</b>			
<b>Issue Date</b>	<b>Start Date</b>	<b>End Date</b>	<b>Length</b>
10/15/2020	10/15/2020	4/15/2020	6 months

<b>Appeal Details</b>			
<b>Submitted</b>	<b>Receipt Date</b>	<b>Status</b>	<b>Determination Date</b>
Yes	10/29/2020	Pending	Pending

**Appeal Determination:** Under review