

Disciplinary Actions Report

ABP Entity Name: Offset Solar

Type of ABP Entity: Designee

Reason for Suspension: Violation of bullet 6 of Section 2 of DG Contract Requirements (not indicating when mechanics' lien waivers will be provided in the customer contract). Lack of responsiveness to the Program Administrator after repeated requests for replies. **Suspension Status**: Suspended indefinitely

Suspension Details			
Issue Date	Start Date	End Date	Length
08/29/19	08/29/19	N/A	Indefinite

Appeal Details			
Submitted	Receipt Date	Status	Determination Date
No	N/A	N/A	N/A

Appeal Determination: N/A Other Information: N/A

ABP Entity Name: LGCY Power

Type of ABP Entity: Designee

Reason for Suspension: Violation of Section 3(a) of ABP Marketing Guidelines (use of "ComEd Energy Savings Program" in third-party customer solicitations).

Suspension status: Suspension completed; Active Designee status restored

Suspension Details			
Issue Date	Start Date	End Date	Length
06/24/2019	06/24/2019	09/24/2019	3 months

Appeal Details			
Submitted	Receipt Date	Status	Determination Date
Yes	07/02/2019	Denied	09/23/2019

Appeal Determination: N/A

ABP Entity Name: Iconic Energy

Type of ABP Entity: Designee

Reason for Suspension: Violation of Section 8(d) of the ABP Marketing Guidelines (signing disclosure forms on behalf of customers).

Suspension status: Suspension completed, Active Designee status restored

Suspension Details			
Issue Date	Start Date	End Date	Length
02/12/2019	02/12/2019	02/12/2020	12 months

Appeal Details			
Submitted	Receipt Date	Status	Determination Date
Yes	02/13/2019	Reviewed	03/12/2019

Appeal Determination: Suspension end date shortened to 03/31/2019. **Other Information**: N/A

ABP Entity Name: Sunder Energy

Type of ABP Entity: Designee & Third-party sales company

Reason for Suspension: Violated temporarily implemented COVID-19 related restrictions added to the Program's Marketing Guidelines by performing door-to-door solicitations while this activity was strictly prohibited.

Suspension status: Suspended

Suspension Details			
Issue Date	Start Date	End Date	Length
07/07/2020	07/07/2020	01/07/2021	6 months

Appeal Details				
Submitted	Receipt Date	Status	Determination Date	
Yes	07/08/2020	Pending	Pending	

Appeal Determination: Pending

ABP Entity Name: Freedom Forever

Type of ABP Entity: Approved Vendor & Designee

Reason for Suspension: A third-party sales company contracted to perform sales on behalf of Freedom Forever committed a violation of the temporarily implemented COVID-19 related

restrictions added to the Program's Marketing Guidelines by performing door-to-door solicitations while this activity was strictly prohibited. **Suspension status**: Suspended

Suspension Details			
Issue Date	Start Date	End Date	Length
07/07/2020	07/07/2020	10/07/2020	3 months

Appeal Details			
Submitted	Receipt Date	Status	Determination Date
Yes	07/08/2020	Pending	Pending

Appeal Determination: Pending

ABP Entity Name: Standard Eco

Type of ABP Entity: Designee

Reason for Suspension: Violation of Section 8(d) under subsection titled *Guidelines for Marketing Behavior* of the Distributed Generation Marketing Guidelines, by not providing customers with a Disclosure Form until several months after completing installation. **Suspension status**: Suspended

Suspension Details			
Issue Date	Start Date	End Date	Length
07/07/2020	07/07/2020	11/07/2020	4 months

Appeal Details			
Submitted	Receipt Date	Status	Determination Date
No	N/A	N/A	N/A

Appeal Determination: N/A